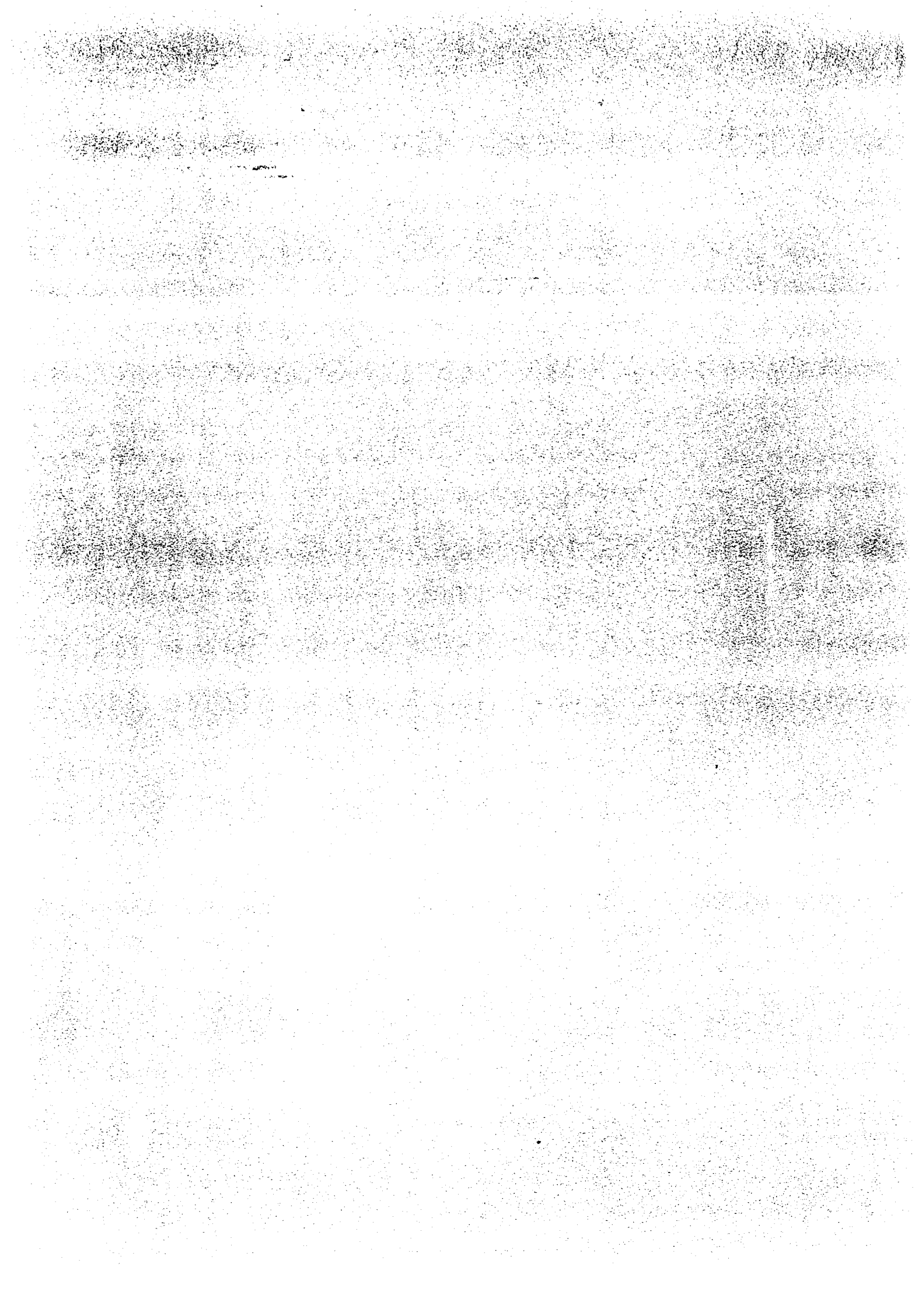


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Pattern Formation by Autonomous Robots without Chirality

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Abstract

Consider a set of anonymous mobile robots, and the patterns they can collectively form in the plane. If each robot has a compass needle that indicates *North* but there is no agreement on East and West (i.e., no chirality), it is known that every arbitrary pattern given in input can be formed if and only if the number of robots is odd. In this paper we study what patterns can be formed by an even number of such robots, and provide a complete characterization. We identify the class of patterns which cannot be formed by an even number of anonymous robots without chirality, regardless of additional capabilities. We then construct a set of rules (distributed algorithm) which leads the robots to form any of the other patterns in finite time. The algorithm is collision-free, completely oblivious and fully asynchronous.

Keywords

Mobile computing, robots, distributed algorithms, oblivious computations, chirality.

1 Introduction

In this paper we consider a collection of identical *anonymous mobile robots*, and the patterns they can collectively form in the plane.

Following the current trend in robotics research, our robots are anonymous, have minimal capabilities, and exhibit an extremely simple behavior. Their actions consist in autonomously observing the environment, computing a destination point, and moving towards it. Their behavior is a simple continuous cycle of sensing, computing, moving and being inactive.

The ability to form geometric patterns is a fundamental one; it is usually an initial step which allows the robots to decide on their respective roles in a subsequent, coordinated action (e.g., forming a circle around an object which has to be removed, arranging themselves in a line so to sweep the terrain in formation, etc.).

Pattern formation by a collection of such robots has been studied by researchers in robotics and in artificial intelligence (e.g., see [1, 3, 4]). Concerns on computability and complexity of the problem have recently motivated also algorithmic investigations [2, 6, 7, 8]; the basic difference is that in [7, 8], any action by the robots, including moving, is *instantaneous*. In this paper, like in [2, 6], there is no such an assumption.

The capacity to solve the pattern formation problem seems to depend not only to the robots' (computing and motorial) capabilities but also and especially on the level of agreement they have on the coordinate system.

In fact, if the robots have *complete agreement* on a common coordinate system¹ (e.g., each robot has a compass), they can form *any* arbitrary pattern given in input; they can do so even if they are totally *asynchronous* in their actions (and inactions), and are completely *oblivious* (i.e., they do not remember any previous observation, computation, or action) [2]. On the other hand, if the robots have *no agreement* on the coordinate system, then the arbitrary pattern formation is *unsolvable* regardless of their capabilities (unbounded memory, instantaneous actions) [2].

More interesting is the case when there is a *limited agreement* among the robots; that is, when they agree on the direction and orientation of one axis, but do not have a common understanding of the *handedness (chirality)* of the coordinate system²; thus knowing North does not distinguish East from West. In this case, an *odd* number of anonymous asynchronous oblivious robots can still form any arbitrary pattern given in input; on the other hand, if the number of robots is *even*, the arbitrary pattern formation problem is unsolvable regardless of their capabilities [2].

Since, with limited agreement, an even number of anonymous robots cannot form *every* pattern, the natural immediate questions are *what patterns, if any, can they form?, what capabilities they need to do so?, how can they do it?* In this paper we provide a complete constructive answer to these questions.

In fact, we first identify a class of patterns Ω that, with partial agreement, *cannot* be formed by an even number of anonymous robots, regardless of their capabilities. The impossibility result holds even allowing the robots to remember the past and to perform synchronous and instantaneous computations. We then present a set of rules (distributed algorithm) which, when executed (asyn-

¹i.e., on the direction and orientation of the two axes but not necessarily on their origin nor on a common unit length.

²chirality allows to consistently infer the orientation of the *Y* axis once the orientation of the *X* axis is given.

chronously and independent in finite time. The pattern formation does not require any knowledge of the robots' computation time of the robots). The robots are initially at the same position in the plane.

2 Model and Basic Assumptions

2.1 The Robots

We consider a system of n robots in a 2D plane. Each robot is able to sense its immediate surroundings and moving towards the coordinates of the destination point.

The robots are viewed as point entities with the following capabilities, which are able to sense their environment through sensors that let each robot have a *local view* of the world. This view will assume w.l.g. to be the Cartesian coordinate system axes, referred to as *X* and *Y* (on the two axes direction, but not on the axis *Y*). Notice that such distance nor on the location of the robots.

The robots are *oblivious* to their previous observation nor communication.

The robots are *anonymous* to their appearances, and they cannot be used during the communication.

The robots are *fully asynchronous* in their actions; the amount of time spent in inaction is finite but otherwise infinite.

The robots execute their actions towards the observed positions of the destination point towards the origin.

A robot is initially in a random position from the other robots. Its sensors will return the coordinates of the destination point.

³i.e., activating the sensors and moving.

⁴We do not require the robots to be able to communicate.

chronously and independently) by the robots leads to form any input pattern $\mathbb{P} \notin \Omega$ in finite time. The pattern formation algorithm is *completely oblivious* (i.e., it does not require any knowledge by the robots of past computations or observations), *fully asynchronous* (i.e., it does not make any assumption on the speed or computation time of the robots), and *collision-free* (i.e., two robots will never occupy the same position in the plane).

2 Model and Basic Properties

2.1 The Robots

We consider a system of autonomous mobile robots. Each robot is capable of sensing its immediate surrounding, performing computations on the sensed data, and moving towards the computed destination; its behavior is an (endless) cycle of sensing, computing, moving and being inactive.

The robots are viewed as points, and modeled as units with computational capabilities, which are able to freely move in the plane. They are equipped with sensors that let each robot observe the positions of the others and form its *local view* of the world. This view includes a unit of length, an origin (which we will assume w.l.g. to be the position of the robot in its current observation), and a Cartesian coordinate system (comprising the directions of the two coordinate axes, referred to as X and Y , and their orientations). We assume the robots agree on the two axes direction, but on the orientation of only one of them (w.l.g. let it be axis Y). Notice that such an agreement does not imply agreement on the unit distance nor on the location of the origin.

The robots are *oblivious*, meaning that they do not need to remember any previous observation nor computations performed in the previous steps.

The robots are *anonymous*, meaning that they are a priori indistinguishable by their appearances, and they do not need to have any kind of identifiers that can be used during the computation. Moreover, there are no explicit direct means of communication.

The robots are *fully asynchronous*: there is no common notion of time, and the amount of time spent in observation³, in computation, in movement, and in inaction is finite but otherwise unpredictable.

The robots execute the same deterministic algorithm, which takes as input the observed positions of the robots within the visibility radius, and returns a destination point towards which the executing robot moves.

A robot is initially in a *waiting state* (*Wait*). Asynchronously and independently from the other robots, it *observes* the environment (*Look*) by activating its sensors which will return a snapshot⁴ of the positions of all other robots with

³i.e., activating the sensors and receiving their data.

⁴We do not require the robots to be able to detect *multiplicity* (i.e. whether there is more than one

respect to its local coordinate system. (Since robots are viewed as points, their positions in the plane is just the set of their coordinates). The robot then *calculates* its destination point (*Compute*) according to its deterministic, oblivious algorithm, based only on the observed locations of the robots. It then *moves* towards that point (*Move*); if the destination point is the current location, the robot stays still (*null movement*). After the move, the robot becomes waiting again. The sequence *Wait - Look - Compute - Move* forms a *cycle* of a robot.

There are two limiting assumptions excluding the *infinite*: 1. The amount of time required by a robot to complete a cycle is not infinite, nor infinitesimally small. 2. The distance traveled by a robot in a cycle is not infinite, nor infinitesimally small (unless it brings the robot to the destination point).

As no other assumptions on time exists, the resulting system is truly *asynchronous* and the duration of each activity (or inactivity) is unpredictable. As a result, robots can be seen while moving, and computations can be made based on obsolete observations. As no other assumptions on space exists, the distance traveled by a robot in a cycle is unpredictable.

2.2 The Pattern Formation Problem

We study the pattern formation problem for arbitrary geometric patterns, where a pattern \mathbb{P} is a set of points p_0, \dots, p_n (given by their Cartesian coordinates) in the plane. The pattern is known initially by all robots in the system. Initially, the robots are in arbitrary positions, with the only requirement that no two robots be in the same position, and that, of course, the number of points prescribed in the pattern and the number of robots are the same.

Let a *configuration* (of the robots) at time t be a set of robots' positions at time t , one position per robot, with no position occupied by more than one robot. Given a pattern \mathbb{P} and a configuration \mathbb{C} , let P_f^i be the set of positions of the robots as viewed in the local coordinate system of the robot r_i . The robots are said to *have formed* \mathbb{P} , if there exists a transformation \mathcal{T} , where \mathcal{T} can be *translation*, *rotation*, *scaling*, or *flipping* into mirror position, such that, $\forall i, \mathcal{T}(P_f^i) = \mathbb{P}$. In other words, the final positions of the robots must coincide with the points of the input pattern, where the formed pattern may be *translated*, *rotated*, *scaled*, and *flipped* into its mirror position with respect to the input pattern \mathbb{P} in each local coordinate system. A *final configuration* for \mathbb{P} is a configuration of the robots in which the robots form the desired pattern \mathbb{P} . The *arbitrary pattern formation problem* is the problem of devising an algorithm which will lead the robots to a final configuration in a finite number of moves for any pattern and any configuration. Consider a set of patterns \mathfrak{P} . Given an arbitrary initial configuration of the robots and an arbitrary pattern $\mathbb{P} \in \mathfrak{P}$, a *pattern formation algorithm* for \mathbb{P} is an oblivious deterministic algorithm that brings the robots in robot on any of the observed points, included the position where the observing robot is).

the system to a final configuration for a pattern formation algorithm is *collision-free* if no two robots that occupy the same position.

Another problem that we will re-visit is the *leader election* problem: the robots in the system are arranged in a cycle, all the robots determine a leader. A deterministic algorithm is called the leader election algorithm. A deterministic algorithm is called the leader election algorithm in a finite number of cycles.

In the following we will denote by calligraphic letters (e.g., C), we indicate the number of robots in a given region. Within a region of the plane, and by $| \cdot |$ the number of robots in the region. Some of the proofs will be omitted.

2.3 Basic Properties

First notice that two robots can trivially form a segment). Therefore, we will assume that the system is collision-free.

Theorem 1 [2] *The arbitrary pattern formation problem for anonymous robots without chirality is solvable.*

Interestingly, the proof of the unsolvability (and lack of chirality) and not on obliviousness. To better understand the proof, we will re-prove it in terms of leader election.

Theorem 2 *When n is even, there is no algorithm for the leader election problem without chirality.*

which yields Theorem 1 as a corollary.

Since an arbitrary pattern can not be formed, we are now interested in determining when this case. Thus, from now on, we will assume that the system is even.

3 Unformable Pattern

In this section we describe a class of patterns that cannot be formed by a fixed number of anonymous robots with 1

the system to a final configuration for \mathbb{P} in a finite number of cycles. We say that a pattern formation algorithm is *collision-free*, if, at any point in time t , there are no two robots that occupy the same position in the plane at t .

Another problem that we will refer to in the following is the *leader election* problem: the robots in the system are said to elect a leader if, after a finite number of cycles, all the robots deterministically agree on (choose) the same robot l , called the leader. A deterministic algorithm that lets the robots in the system elect a leader in a finite number of cycles, starting from any configuration, is called a *leader election algorithm*.

In the following we will denote by $r.x$ and $r.y$ the coordinates of robot r . With calligraphic letters (e.g., C), we indicate regions of the plane, and by $|\cdot|$ the number of robots in a given region. With capital letters (e.g., L) we indicate lines in the plane, and by $|\cdot|$ the number of robots on a given line. Due to space restrictions, some of the proofs will be omitted.

2.3 Basic Properties

First notice that two robots can trivially form any pattern (the only possible pattern is a segment). Therefore, we will assume $n > 2$, with n the number of robots in the system.

Theorem 1 [2] *The arbitrary pattern formation problem is solvable by $n > 2$ anonymous robots without chirality if and only if n is odd.*

Interestingly, the proof of the unsolvability for n even relies only on anonymity (and lack of chirality) and not on obliviousness or asynchrony. On the other hand, the arbitrary pattern formation algorithm for n odd is both oblivious and asynchronous. To better understand the negative result for an even number of robots, we will re-prove it in terms of leader-election.

Theorem 2 *When n is even, there exists no deterministic algorithm that solves the leader election problem without chirality.*

which yields Theorem 1 as a corollary.

Since an arbitrary pattern can not be formed by an even number of robots, we are now interested in determining which class of patterns, if any, can be formed in this case. Thus, from now on, we will assume that the number n of robots in the system is even.

3 Unformable Patterns

In this section we describe a class of pattern that cannot be formed by an even number of anonymous robots with limited agreement. A pattern \mathbb{P} is *symmetric* if

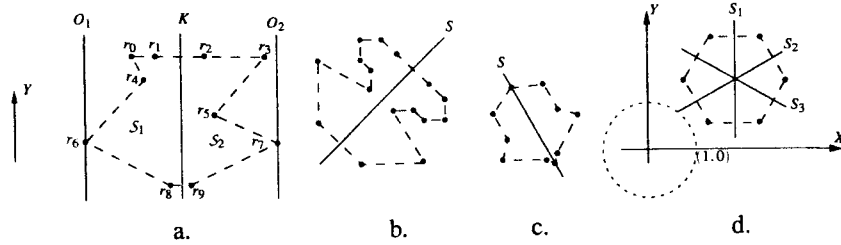


Figure 1: (a) An unachievable asymmetric pattern. In this example, the sorted sequence of pairs of robots from the proof of Lemma 3 is the following: (r_1, r_2) , (r_0, \emptyset) , (r_3, \emptyset) , (r_4, \emptyset) , (r_5, \emptyset) , (r_6, r_7) , (r_8, r_9) . In this case r_0 would be elected as the leader. (b) An achievable pattern with one empty axis. (c) An unachievable pattern. (d) An achievable pattern that has three empty axes. Note that this pattern has also axes of symmetry passing through vertices. In this case, following the procedure in Footnote 6, the routine $\text{Choose}(\mathbb{P})$ of Algorithm 1 chooses S_2 .

it has at least one axis of symmetry S ; that is, for each $p \in \mathbb{P}$ there exists exactly another point $p' \in \mathbb{P}$ such that p and p' are symmetric with respect to S (see Figure 1.b, c and d).

The proof of the unsolvability result of Theorem 2 is useful to better understand which kind of patterns can not be formed, hence which kind of pattern formation algorithm can not be designed. In fact, the ability to form a particular type of patterns would imply the ability to elect a robot in the system as the leader. More formally,

Theorem 3 *There exists no pattern formation algorithm that lets the robots in the system form (a.) an asymmetric pattern, or (b.) a symmetric pattern that has all its axes of symmetry passing through a vertex.*

Proof.

Part a. By contradiction, let \mathcal{A} be a pattern formation algorithm, and let \mathbb{P} be an arbitrary asymmetric pattern of n points. We now show that \mathcal{A} is a leader election algorithm. Let Ψ be the final configuration after they execute the algorithm, starting from an arbitrary initial configuration. Since the robots in the system agree on the direction and orientation of the Y axis, it is possible for them to elect a leader. In fact, let O_1 and O_2 be respectively the vertical axis passing through the outermost robots in Ψ (all the robots must agree on these two axis, since they agree on the orientation of Y), and let K be the vertical axis equidistant from O_1 and O_2 (e.g., see Figure 1.b). K splits the plane in two regions, S_1 and S_2 . If some robots are on K , the

highest on K can be elected as a leader. We can distinguish two cases:

1. $|\mathcal{S}_1| \neq |\mathcal{S}_2|$. In this case, the region as the positive side of the axis K is the empty region. If the configuration is not symmetric with respect to K , it is possible to elect a leader.
2. $|\mathcal{S}_1| = |\mathcal{S}_2|$. In this case, for each $x \in \mathcal{S}_2 \cup \emptyset$, as follows. Let $h(r)$ be the proximity distance between r and K . If $h(r_i) > h(r_j)$, and $p_K(r_i) = p_K(r_j)$, then we build pairs for each $r_j \in \mathcal{S}_2$ if (r_j, r_i) is defined, we can select r_i as the leader with respect to the height and the proximity (e.g., see in Figure 1.b):

$$\begin{aligned} (r_i, \emptyset) > (r_j, \emptyset) &\Leftrightarrow h(r_i) > h(r_j) \\ (r_i, \emptyset) > (r_j, r_h) &\Leftrightarrow h(r_i) > h(r_j) \\ (r_i, r_j) > (r_h, \emptyset) &\Leftrightarrow h(r_i) > h(r_h) \\ (r_i, r_j) > (r_h, r_k) &\Leftrightarrow h(r_i) > h(r_h) \end{aligned}$$

We observe that the set of pairs $\{(r_i, r_j) \mid r_i, r_j \in \mathbb{P}\}$ is not empty; moreover, under the proximity hypothesis, there must exist at least one robot that can be elected as a leader the robot in \mathcal{S}_1 .

Hence, \mathcal{A} would be a leader election algorithm.

Part b. By contradiction, suppose there exists a pattern formation algorithm that lets the robots form a symmetric pattern with respect to some axes of symmetry passing through some vertices of the pattern. After the robots run \mathcal{A} , the final configuration Ψ whose positions correspond to the vertices of the pattern. Hence, Ψ must be symmetric with respect to all the axes of symmetry passing through some vertices (robots' positions). We

1. Ψ is not symmetric w.r.t. any axis of symmetry passing through a vertex. In this case, the same argument of Part a. can be used to elect a leader.

highest on K can be elected as a leader. Suppose that no robot is on K . We can distinguish two cases:

1. $|\mathcal{S}_1| \neq |\mathcal{S}_2|$. In this case, the robots can agree on the most populated region as the positive side of X ; hence, starting from any initial configuration, it is possible to elect a leader (e.g., the topmost rightmost one).
2. $|\mathcal{S}_1| = |\mathcal{S}_2|$. In this case, for each robot $r_i \in \mathcal{S}_1$, we build a pair (r_i, x) , $x \in \mathcal{S}_2 \cup \emptyset$, as follows. Let $h(r)$ indicates the height of robot r , and $p_K(r)$ indicates the *proximity* of robot r to K , that is the horizontal distance between r and K . If there exists $r_j \in \mathcal{S}_2$ such that $h(r_i) = h(r_j)$, and $p_K(r_i) = p_K(r_j)$, then $x = r_j$; otherwise $x = \emptyset$. Analogously, we build pairs for each $r_j \in \mathcal{S}_2$. Given that (r_i, r_j) is defined if and only if (r_j, r_i) is defined, we can sort all the pairs in descending order, with respect to the height and the proximity of the robots to K . Namely, (e.g., see in Figure 1.b):

$$\begin{aligned}
 (r_i, \emptyset) > (r_j, \emptyset) &\Leftrightarrow h(r_i) > h(r_j) \vee \\
 &\quad (h(r_i) = h(r_j) \wedge p_K(r_i) < p_K(r_j)) \\
 (r_i, \emptyset) > (r_j, r_h) &\Leftrightarrow h(r_i) > h(r_j) \vee \\
 &\quad (h(r_i) = h(r_j) \wedge p_K(r_i) < p_K(r_j)) \\
 (r_i, r_j) > (r_h, \emptyset) &\Leftrightarrow h(r_i) > h(r_h) \vee \\
 &\quad (h(r_i) = h(r_h) \wedge p_K(r_i) < p_K(r_h)) \\
 (r_i, r_j) > (r_h, r_k) &\Leftrightarrow h(r_i) > h(r_h) \vee \\
 &\quad (h(r_i) = h(r_h) \wedge p_K(r_i) < p_K(r_h))
 \end{aligned}$$

We observe that the set of pairs obtained is independent from the orientation of the X axis; moreover, since Ψ is asymmetric w.r.t K by hypothesis, there must exist at least a pair with an \emptyset . It follows that we can elect as a leader the robot in the first pair that has \emptyset as an element.

Hence, \mathcal{A} would be a leader election algorithm, contradicting Theorem 2.

Part b. By contradiction, suppose there exists a pattern formation algorithm \mathcal{A} that lets the robots form a symmetric pattern \mathbb{P} that has all its axes of symmetry passing through some vertices in \mathbb{P} , starting from an arbitrary initial configuration. After the robots run \mathcal{A} , they are in a final configuration Ψ whose positions correspond to the vertices of \mathbb{P} (up to scaling and rotation); hence, Ψ must be symmetric with all its axes of symmetry passing through some vertices (robots' positions). We distinguish two cases.

1. Ψ is not symmetric w.r.t. any axis Y' parallel to Y . In this case, the same argument of Part a. can be used to conclude that a leader can be elected.

2. Ψ is symmetric w.r.t. some Y' parallel to Y . Since by hypothesis Y' must pass through a vertex, a leader can be elected (e.g., the topmost robot on Y').

Hence, \mathcal{A} would be a leader election algorithm, contradicting Theorem 2.

□

Let us call \mathcal{T} the class containing all the arbitrary patterns, and $\mathcal{P} \subset \mathcal{T}$ the class containing only patterns with at least one axis of symmetry not passing through any vertex (e.g., see Figures 1.b and 1.d); let us call *empty* such an axis. Theorem 3 states that if $\mathbb{P} \in \mathcal{T} \setminus \mathcal{P}$, then \mathbb{P} can not be formed; hence, according to Part b. of the previous theorem, the only patterns that might be formed are symmetric ones with at least one *empty axis*. In the following, we prove that all these patterns can actually be formed. In particular, we present an algorithm that lets the robots form exactly these kind of patterns, if local rotation of the pattern is allowed.

4 Forming Formable Patterns

4.1 The Algorithm

In this section we present an algorithm that let the robots form symmetric patterns with at least one *empty axis*. The idea behind the algorithm is as follows. First, the robots compute locally an *empty axis* say S , of the input pattern \mathbb{P} , and then rotate \mathbb{P} so that S is parallel to the common understanding of the orientation of Y . Second, the robots elect the two topmost outermost⁵ robots in the observed robots' positions, $Outer_1$ and $Outer_2$. If this is not possible (i.e., all the robots are on the same vertical axis), the second topmost robot on this axis moves to its right, so that $Outer_1$ and $Outer_2$ can be correctly computed. Then, $Outer_1$ and $Outer_2$ move until they are at the same height: these two robots will never move again. When this happens, the vertical axis K is computed: it is the median between the vertical axis passing through the positions of $Outer_1$ and $Outer_2$; K splits the plane in two halves, called *Left* and *Right*. At this point, it is possible to compute the set of final positions of the robots: these are the positions that the robots must occupy in order to correctly solve the problem. In order to compute these positions, \mathbb{P} is scaled with respect to the distance between $Outer_1$ and $Outer_2$, and is translated with respect to the positions of these two robots. We note that, by definition of \mathbb{P} , the number of final positions in *Left* and in *Right* is $n/2$. The robots' positions in each half can be sorted using the ordering defined in the proof of Theorem 3. Thus, the first $n/2$ robot in *Left* are directed towards final positions in *Left*, and the first $n/2$ robots in *Right* towards final positions in *Right*. If in one half there are more robots than final destination, the *extra* robots are directed towards K .

⁵That is, among the outermost robots, the two topmost ones.

Once there are no *extra* robots neither in L nor in R , the robots are directed, from the topmost to the bottommost, to the final positions. They do not have any robots on them yet.

The routine `Choose(\mathbb{P})` locally chooses the final position of every robot on the input pattern \mathbb{P} ; since this is a local operation, every robot can be made to choose the same final position.

`Rotate(\mathbb{P}, S)` locally rotates \mathbb{P} in such a way that the axis S chosen with `Choose(\mathbb{P})` is parallel to the vertical axis. This is performed clockwise.

`Pattern_Length(\mathbb{P})` returns the horizontal length of the input pattern, local unit distance, measured as the distance between the two vertical axes tangent to \mathbb{P} .

As already stated previously, the algorithm lets the robots move towards the most robots, so that the input pattern can be formed. Unfortunately, if all the robots are on the same vertical axis, the robots can not be located. In Line 5, the algorithm lets the robots move to the right, so that there are exactly two outermost robots on the vertical axis H .

```

d := dist(top(H), bottom(H));
Case |H|
  •n
  If I Am The Second Topmost Robot (
5:   p := Point To My Right At Horizontal Distance d;
      Move(p).
  Else
      do_nothing().
  •n - 1
10:  r := Robot Not On H;
      If I Am r Then
          If I Am Not At Horizontal Distance d/2
              p := Closest Point To Me At Horizontal Distance d/2;
              Move(p).
15:  Else
      return.
  Else
      If r Is Not At Horizontal Distance d/2
          do_nothing().
20:  Else
      return.

```

⁶For instance, starting from the point (1,0) on the unit circle in the Cartesian coordinate system, the first *empty axis* that is hit moving clockwise (i.e., the orientation of the X axis), after having translated the *empty axis* to the origin. In the example depicted in Figure 1.e, the axis S_2

Once there are no *extra* robots neither in *Left* nor in *Right*, the robots on *K* are directed, from the topmost to the bottommost, towards the final destinations that do not have any robots on them yet.

The routine `Choose(\mathbb{P})` locally chooses an *empty axis* of symmetry in the input pattern \mathbb{P} ; since this is a local operation, and \mathbb{P} is the same for all the robots, every robot can be made to choose the same axis of symmetry⁶.

`Rotate(\mathbb{P}, S)` locally rotates \mathbb{P} in such a way that the axis of symmetry *S* chosen with `Choose(\mathbb{P})` is parallel to the *Y* axis. The rotation is (locally) performed clockwise.

`Pattern_Length(\mathbb{P})` returns the horizontal length of \mathbb{P} according to the local unit distance, measured as the distance between the two outermost vertical axes tangent to \mathbb{P} .

As already stated previously, the algorithm locates the two outermost and topmost robots, so that the input pattern can be translated and scaled with respect to them. Unfortunately, if all the robots are on the same vertical axis *H*, these two robots can not be located. In Line 5, the algorithm handles this case. In particular, routine `Same_Vertical_Axis(H)` let one of the robots on *H* move to its right, so that there are exactly two outermost topmost robots. Namely, we have

```

Same_Vertical_Axis(H)
  d := dist(top(H), bottom(H));
  Case |H|
    •n
      If I Am The Second Topmost Robot On H Then
5:     p := Point To My Right At Horizontal Distance d From K;
        Move(p).
      Else
        do_nothing().
    •n - 1
10:    r := Robot Not On H;
      If I Am r Then
        If I Am Not At Horizontal Distance d from H Then
          p := Closest Point To Me At Horizontal Distance d From H;
          Move(p).
15:    Else
      return.
    Else
      If r Is Not At Horizontal Distance d From H Then
        do_nothing.
20:    Else
      return.

```

⁶ For instance, starting from the point (1,0) on the unit circle centered in the origin of the local coordinate system, the first *empty axis* that is hit moving counterclockwise (according to the local orientation of the *X* axis), after having translated the *empty axes* in such a way that they pass through the origin. In the example depicted in Figure 1.e, the axis *S*₂ would be chosen.

Algorithm 1 One axis direction and orientation, n even

Input: An arbitrary pattern \mathbb{P} described as a sequence of points p_1, \dots, p_n , given in lexicographic order. \mathbb{P} is symmetric and has at least one *empty axis*.

```

S := Choose( $\mathbb{P}$ );
P := Rotate( $\mathbb{P}$ , S);
P.Length := Pattern.Length( $\mathbb{P}$ );
H := Leftmost Vertical Axis With More Robots On It;
5: If ( $|H| = n$  Or  $|H| = n - 1$ ) Then
    Same.Vertical.Axis(H);
    ( $Outer_1, Outer_2$ ) := Outer.Most();
    If  $Outer_1.y \neq Outer_2.y$  Then
        Fix.Outermosts( $Outer_1, Outer_2$ );
10: Else
    If I Am  $Outer_1$  Or  $Outer_2$  Then
        do.nothing();
    Else
        K := Median.Axis( $Outer_1, Outer_2$ );
15: Final.Positions := Find.Final.Positions(K, P, S,
        P.Length,  $Outer_1, Outer_2$ );
    If I Am On One Of The Final.Positions Then
        do.nothing();
        ( $Left, Right$ ) := Sides(K);
20: If I Am In Left Or In Right Then
        MySide := My.Side(K);
        Free.Points := {Final.Positions in MySide with no robots on them};
        Free.Robots := {Robots' posit. in MySide not on Final.Positions};
        If Free.Points  $\neq \emptyset$  Then
25: Go.To.Points(Free.Robots, Free.Points);
        Else
            Choose.On.K(Free.Robots, K); *I am a Free.Robots but there
            are no Free.Points in MySide*
    If I Am On K Then
30: If There Are Robots In ( $Left \cup Right$ ) Not On Final.Destinations
        Then
            do.nothing();
        Else
            r := Highest(K);
            p := Point In Final.Positions Closest To r With No Robot On It;
35: Go.To.Points({r}, {p}).

```

where $Move(p)$ terminates the local call towards the point p , using a straight line d between the topmost (returned by $Outermost(H)$) robot on H is computed. If there are exactly n robots on H , the straight line d is horizontal until it is at an horizontal distance $|H| = n - 1$ forces all the other robots to move towards $Outermost(H)$. Since there is no agreement on the orientation of H , the routine $Outermost(H)$ returns the current position of $Outer_1$ and $Outer_2$.

Then, the algorithm calls the routine $Median.Axis(Outer_1, Outer_2)$ that moves $Outer_1$ and $Outer_2$ until they are on the same vertical axis. The other robots are not allowed to move until $Outer_1$ and $Outer_2$ are on the same vertical axis. The function $Median.Axis(Outer_1, Outer_2)$ returns the median axis between the two robots $Outer_1$ and $Outer_2$.

The routine $Find.Final.Positions(K, P, S, P.Length, Outer_1, Outer_2)$ computes the scaling and the translation of \mathbb{P} to the vertical axis K and the vertical axis S computed in Line 1; further, it computes the way it has been rotated in Line 2, and returns the points in \mathbb{P} that are symmetric with respect to the vertical axis K and S ($Outer_1$ and $Outer_2$ which are symmetric with respect to the vertical axis K). The distance between $Outer_1$ and $Outer_2$ is used to compute their final positions.

The routine $Sides(K)$ returns two sets of robots currently lying in the two halves of the plane defined by the vertical axis K . If K is vertical, it returns respectively the robots' positions in the left and right halves of the plane. If K is horizontal, it returns the half of the plane where the robots are currently located.

The routine $Go.To.Points(Free.Robots, Free.Points)$ moves the robots in $Free.Robots$ to avoid collisions. In fact, if a robot r were to move towards a point p , it might collide with another robot. The routine appropriately chooses an alternative point p such that the invariant that r is the robot in $Free.Points$ is maintained. Namely (refer to Figure 2.a),

```

Go.To.Points(Free.Robots, Free.Points);
( $r, p$ ) := Minimum(Free.Robots, Free.Points);
If I Am r Then
    If No Robots Is On The Line Pa
        Move(p).

```

where $\text{Move}(p)$ terminates the local computation of the calling robot and moves it towards the point p , using a straight movement. In other words, the distance d between the topmost (returned by $\text{top}(H)$) and the bottommost (returned by $\text{bottom}(H)$) robot on H is computed by $\text{dist}(\text{top}(H), \text{bottom}(H))$; if there are exactly n robots on H , the second topmost robot r on H moves to its right until it is at an horizontal distance d from K (while r is moving, the case $|H| = n - 1$ forces all the other robots to stay still until r is at distance d from K).

$\text{Outermost}()$ returns the current topmost outermost robots in the world. Since there is no agreement on the orientation of the X axis, it returns two robots, Outer_1 and Outer_2 .

Then, the algorithm calls the routine $\text{Fix_Outermosts}(\text{Outer}_1, \text{Outer}_2)$, that moves Outer_1 and Outer_2 until they reach the same height. Until this happens, all the other robots are not allowed to move.

The function $\text{Median_Axis}(\text{Outer}_1, \text{Outer}_2)$ returns the vertical axis K , which is the median axis between the vertical axes passing through Outer_1 and Outer_2 .

$\text{Find_Final_Positions}(K, P, S, \text{Pattern_Length}, \text{Outer}_1, \text{Outer}_2)$ executes the scaling and the translation of \mathbb{P} with respect to the positions of Outer_1 and Outer_2 . These positions are computed in the following way: K is viewed as the empty axis S computed in Line 1; furthermore, by definition of \mathbb{P} and because of the way it has been rotated in Line 2, there are exactly two outermost topmost points in \mathbb{P} that are symmetric with respect to S : these two points are viewed as Outer_1 and Outer_2 (which are symmetric with respect to K). The common scaling of the input pattern is defined by identifying Pattern_Length with the horizontal distance between Outer_1 and Outer_2 (that are at the same height and already in their final positions).

The routine $\text{Sides}(K)$ returns two sets, each containing the positions of robots currently lying in the two halves in which the plane is split by K : in particular, it returns respectively the robots' positions on the *Left* and on the *Right* of K , according to the local orientation of the calling robot's X axis. $\text{My_Side}(K)$ returns the half of the plane where the calling robot currently lies.

$\text{Go_To_Points}(\text{Free_Robots}, \text{Free_Points})$ chooses the robot r in Free_Robots that is closest to a point in Free_Points , say p , and moves r in such a way to avoid collisions. In fact, if r were to move following a straight line towards its destination p , it might collide with a robot on this path. Should this be the case, the routine appropriately chooses an intermediate destination point maintaining the invariant that r is the robot in Free_Robots closest to a point in Free_Points . Namely (refer to Figure 2.a),

$\text{Go_To_Points}(\text{Free_Robots}, \text{Free_Points})$

$(r, p) := \text{Minimum}(\text{Free_Robots}, \text{Free_Points});$

If I Am r Then

If No Robots Is On The Line Passing Through r And p Then

$\text{Move}(p).$

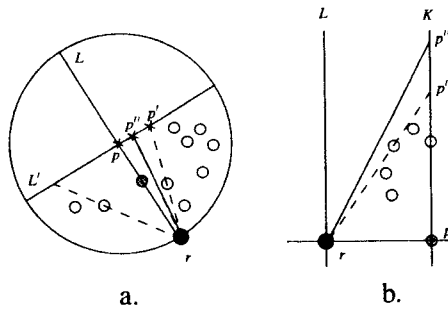


Figure 2: (a) Routine `Go_To_Points()` determines the destination point for r . The empty circles represent the points in *Avoid*, and the grey one represents the robot that does not allow r to perform a straight movement towards p ; hence routine `Closest_Intersection()` is called in this case. The thick line is the path followed by r (in two cycles) to reach p . (b) Routine `Choose_On_K()` determines the destination point for r on K . The thick line is the path followed by r to reach K .

```

5:  Else
    L := Line Passing Through r and p;
    L' := Line Orthogonal To L;
    Cp := Circle Centered In p Having Radius r̄p;
    Cp' := Half Of Cp Delimited By L' That Contains r;
10:  Avoid := Robots' Positions Inside Cp';
    p'' := Closest_Intersection(p, L', Avoid, Free_Points);
    If p'' Is Not Inside Cp Then
      p'' := Point On L' At Distance r̄p/2 From p; {In this way, p'' is
        always chosen on L' and inside Cp}
15:  Move(p'').
    Else
      do_nothing().

```

where `Minimum(Free_Robots, Free_Points)` returns the pair (r, p) such that

$$\bar{r}p = \min_{\substack{f_r \in \text{Free_Robots} \\ f_p \in \text{Free_Points}}} \overline{f_r f_p}.$$

If more than one robot has minimum distance, the topmost robot that is closest to K is chosen. The core of this routine is in `Closest_Intersection()`, that looks for a point p'' on L' such that inside the triangle Δ passing through r , p , and p'' there are no robots; hence, r can move inside Δ avoiding collisions. Furthermore, `Closest_Intersection()` checks also that p'' is such that, while r moves towards it, p remains the point in *Free_Points* closest to r .

If in *MySide* there are more robots directed towards K by invoking routine `Choose_On_K()` ensures that the movements towards K are sequential (see Fig. 2.b). In particular,

`Choose_On_K(Free_Robots, K)`

If I Am The Topmost And Closest To K

$p :=$ Intersection Between K And L ; $L :=$ Vertical Line Passing Through r ; $\mathcal{R} :=$ Portion Of The Plane Above L ; $Avoid := \{ \text{Robots' Positions In } \mathcal{R} \};$ $Intersections := \emptyset$

If No Robot Is On The Line Passing Through r And K Move(p).

Else

$L :=$ Vertical Line Passing Through r ; $\mathcal{R} :=$ Portion Of The Plane Above L ; $Avoid := \{ \text{Robots' Positions In } \mathcal{R} \};$ $Intersections := \emptyset$

For All $p' \in \text{Free_Robots}$ Do

$L' :=$ Line Passing Through r And p' ; $Intersections := \text{Intersection}(L', \mathcal{R}, Avoid);$

End For

$p' :=$ Topmost Point In $Intersections$; $p'' :=$ Point On K Above p' At Distance $\bar{r}p'$ From p' ; Move(p'').

End For

$p' :=$ Topmost Point In $Intersections$; $p'' :=$ Point On K Above p' At Distance $\bar{r}p'$ From p' ; Move(p'').

End For

$p' :=$ Topmost Point In $Intersections$; $p'' :=$ Point On K Above p' At Distance $\bar{r}p'$ From p' ; Move(p'').

End For

do_nothing().

In other words, the robot r chooses a point p'' on L' such that inside the triangle Δ passing through r , p , and p'' there are no robots in the region \mathcal{R} delimited by L (the vertical line passing through r) and K , maintaining the topmost robot in *Free_Robots*.

When all the robots in *Left* and *Right* are directed sequentially, from available final positions. In particular, the topmost robot in *Free_Robots* reaches its point in *Final_Positions*.

4.2 Correctness

We shall call an *even agreement configuration* the position of a vertical axis K in the plane, such that (i) K is not in the *Final_Positions* or on K , and (ii) the number of robots on K is even. Moreover, we define the *even agreement number* of a configuration as the number of robots on K . The *even agreement number* of the two halves in which the plane is divided by K lets the robots reach an even agreement configuration.

If in *MySide* there are more robots than final positions, the *extra* robots are directed towards *K* by invoking routine `Choose_On_K()` in Line 27. This routine ensures that the movements towards *K* are done without collisions (refer to Figure 2.b). In particular,

`Choose_On_K(Free_Robots, K)`

If I Am The Topmost And Closest To *K* in *Free_Robots* Then

$p :=$ Intersection Between *K* And Horizontal Line Passing Through My Position;

If No Robot Is On The Line Passing Through My Position And *p* Then

`Move(p)`.

Else

$L :=$ Vertical Line Passing Through My Position;

$\mathcal{R} :=$ Portion Of The Plane Above My Position And Delimited By *K* And *L*;

$Avoid :=$ {Robots' Positions Inside \mathcal{R} } \cup {Robots' Positions On *K* Above *p*};

$Intersections := \emptyset$

For All $p' \in Avoid$ Do

$L' :=$ Line Passing Through My Position And p' ;

$Intersections := Intersections \cup \{Intersection\ Between\ K\ And\ L'\}$;

End For

$p' :=$ Topmost Point In $Intersections$;

$p'' :=$ Point On *K* Above p' At Distance δ From p' .

`Move(p'')`.

Else

`do_nothing()`.

In other words, the robot *r* chooses a path that goes above all the robots that are in the region \mathcal{R} delimited by *L* (the vertical line passing through the position of the calling robot) and *K*, maintaining the invariant to remain the (closest to *K*) topmost robot in *Free_Robots*.

When all the robots in *Left* and *Right* are on *Final_Positions*, all the robots on *K*, if any, are directed sequentially, from the topmost to the bottommost, towards the available final positions. In particular, the topmost robot on *K* chooses the closest point in *Final_Positions*.

4.2 Correctness

We shall call an *even agreement configuration* one in which (i) all robots agree on the position of a vertical axis *K* in the plane, (ii) each robot is either on one of the *Final_Positions* or on *K*, and (iii) there is at most one robot on each of the *Final_Positions*. Moreover, we define the *cardinality* of an even agreement configuration as the number of robots on *K*. In the following we will denote by *sides* the two halves in which the plane is divided by *K*. We first show that Algorithm 1 lets the robots reach an even agreement configuration in a finite number of cycles,

while avoiding collisions between robots.

Lemma 1 *If the robots are not in a final configuration, in a finite number of cycles the robots agree on two topmost outermost robots, $Outer_1$ and $Outer_2$. Let O_1 and O_2 be the vertical axes passing through the positions of $Outer_1$ and $Outer_2$, respectively. In a finite number of cycles $Outer_1$ and $Outer_2$ will be at the same height, and all the robots will agree on the position of the vertical axis K that is median between O_1 and O_2 . Until this happens, any collision is avoided.*

Proof. After having chosen an axis of symmetry S on Line 1 of the algorithm⁷, the robot locally rotates \mathbb{P} in such a way that S becomes parallel to Y . We note that, since \mathbb{P} is symmetric with respect to S , and S is an *empty axis*, there are exactly two topmost outermost points in \mathbb{P} . If the robots are all on the same vertical axis H , routine `Same_Vertical_Axis(H)` is called. In particular, let d be the distance between the topmost and the bottommost robot on H . The second topmost robot r on H moves at a distance d to its right, while all the other robots do not move. While r is moving, $|H| = n - 1$ and, according to Line 19 of the routine, all the robots do not move until r , in a finite number of movements, is at distance d from K . After this, the two outermost and highest robots in the world are localized ($Outer_1$ and $Outer_2$ at Line 7), and until they are at the same height⁸ all the other robots do not move (Lines 3 and 9 in routine `Fix_Outermosts()`). Once they reach the same height (Line 10), $Outer_1$ and $Outer_2$ will never move again (Line 12). By construction, $O_1 \neq O_2$, and there are no robots on O_1 and O_2 that are above $Outer_1$ or $Outer_2$. Therefore, since according to routine `Fix_Outermosts()` $Outer_1$ and $Outer_2$, if they move, always move up and on O_1 and O_2 , their movements can not produce any collision. After $Outer_1$ and $Outer_2$ reach the same height, all the robots can agree on the axis K that is in the middle between the vertical axis passing through $Outer_1$ and the vertical axis passing through $Outer_2$ (Line 14). Since $Outer_1$ and $Outer_2$ executed a finite number of cycles to reach their final positions, by Assumptions A1 and A2, the agreement on K is reached in a finite number of cycles. \square

Lemma 2 *If the robots are not in a final configuration nor in an even agreement configuration, they will reach an even agreement configuration in a finite number of cycles, and without collisions.*

Moreover, we can prove the following

Lemma 3 *Given an even agreement configuration of cardinality greater or equal to one, within finite time another even agreement configuration of smaller cardinality will be reached, avoiding any collisions.*

⁷All the Lines refer to Algorithm 1, if not otherwise stated.

⁸We recall that we can talk about *same heights* because all the robots agree on the direction of Y , hence they can commonly agree on this.

Therefore, by Lemmas 2 and 3, we can

Theorem 4 *Algorithm 1 is a collision-free pattern formation algorithm for \mathbb{P} .*

Corollary 1 *An even number of autonomous robots that agree on the direction and orientation can form a pattern $\mathbb{P} \in \mathfrak{P}$ if and only if $\mathbb{P} \in \mathfrak{P}$.*

5 Remarks on Rotation

In Sections 3 and 4.1, we have provided patterns which can be formed by an even number of robots that have agreement on the direction and orientation. This characterization assumes that the robots can locally elect a leader. Surprisingly, if robots are incapable to perform this operation, surprisingly, the class of achievable patterns is much larger. In particular, the class of achievable patterns includes all symmetric patterns with at least one *empty axis* parallel to Y .

Theorem 5 *There exists no pattern formation algorithm for \mathbb{P} that performs a local rotation of the input pattern, and that lets $\mathbb{P} \in \mathfrak{P}'$.*

Proof. By contradiction, let \mathcal{A} be an algorithm that performs a local rotation of the input pattern. Let Ψ be an initial configuration of the robots for \mathbb{P} after they execute \mathcal{A} . If Ψ is symmetric with respect to the vertical axis passing through the two outermost robots, then the vertical axis passing through the two outermost robots is the median between O_1 and O_2 . If $K = O_1 = O_2$, then a leader can be elected (e.g., the topmost robot on K). Otherwise, if Ψ is symmetric with respect to a vertical axis K (by hypothesis, Ψ has no *empty axis*), then a leader can be elected as leader, contradiction. If Ψ is not symmetric with respect to K , also in this case a leader can be elected following an approach similar to the one used in Theorem 2, contradicting again Theorem 2.

As a concluding remark, we note that skipping the local rotation step in Algorithm 1, we have a pattern formation algorithm that performs a local rotation and allows the formation of a pattern with at least one *empty axis* that is parallel to Y . Hence, we can

Therefore, by Lemmas 2 and 3, we can state the following

Theorem 4 *Algorithm 1 is a collision-free pattern formation algorithm for $\mathbb{P} \in \mathfrak{P}$.*

Corollary 1 *An even number of autonomous, anonymous, oblivious, mobile robots that agree on the direction and orientation of Y axis, can form a pattern \mathbb{P} if and only if $\mathbb{P} \in \mathfrak{P}$.*

5 Remarks on Rotation

In Sections 3 and 4.1, we have provided a characterization of the class of patterns which can be formed by an even number of anonymous robots, provided they have agreement on the direction and orientation of the Y axis. This characterization assumes that the robots can locally *rotate* the input pattern. Should the robots be incapable to perform this operation, the characterization is different; not surprisingly, the class of achievable patterns is smaller. Let $\mathfrak{P}' \subset \mathfrak{P}$ be the class of symmetric pattern with at least one *empty axis*, and with no *empty axis* parallel to Y .

Theorem 5 *There exists no pattern formation algorithm that does not allow local rotation of the input pattern, and that lets the robots form a symmetric pattern $\mathbb{P} \in \mathfrak{P}'$.*

Proof. By contradiction, let \mathcal{A} be an algorithm that, starting from an arbitrary initial configuration, let the robots form a pattern $\mathbb{P} \in \mathfrak{P}'$. Let Ψ be the final configuration of the robots for \mathbb{P} after they execute \mathcal{A} . Since no local rotation is allowed, also Ψ is symmetric with no *empty axis* parallel to Y . Let O_1 and O_2 be the vertical axes passing through the two outermost robots, and K the vertical axis median between O_1 and O_2 . If $K = O_1 = O_2$, then all the robot are on K , hence a leader can be elected (e.g., the topmost robot on K), thus contradicting Theorem 2. Otherwise, if Ψ is symmetric with respect to K , then there must be at least one robot on K (by hypothesis, Ψ has no *empty axis* parallel to Y); hence, the topmost of these robots can be elected as leader, contradicting Theorem 2. Therefore, Ψ is not symmetric with respect to K : also in this case a leader can be elected (e.g., following an approach similar to the one used in the proof of Theorem 3.a), thus contradicting again Theorem 2. \square

As a concluding remark, we note that skipping the `Rotate(\mathbb{P})` at Line 2 in Algorithm 1, we have a pattern formation algorithm that does not make use of local rotation and allows the formation of a symmetric pattern that has at least one *empty axis* that is parallel to Y . Hence, we can state the following

Corollary 2 *An even number of autonomous, anonymous, oblivious, mobile robots that agree on the direction and orientation of Y axis, can form a pattern \mathbb{P} if and only if $\mathbb{P} \in \mathfrak{P} \setminus \mathfrak{P}'$, when no local rotation of \mathbb{P} is allowed.*

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Characterization of N Multi-dimensional Lin Scher

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Abstract

An *Interval routing scheme (IRS)* is a strategy for routing messages in a distributed network where each node of the network is assigned an integer label and each link is labeled with an interval. The interval assigned to a link e is the set of destination addresses of the messages that pass through e at v . A *Multi-dimensional Interval Routing Scheme (MLIRS)* is a generalization of IRS in which each node is assigned a d -dimensional label (which is a list of d integers for the link). The intervals assigned to the links of the network are a generalization of 1-dimensional intervals. The class of networks supporting MLIRS (which the intervals are not cyclic) is already known [5]. In this paper, we generalize this result to the class of networks supporting linear MLIRS. We show that by including networks supporting MLIRS is strictly expanded. We show that the class of networks supporting strict MLIRS is strictly expanded. We show that the intervals assigned to the links incident to a node v are disjoint.

Keywords

Computer networks, interval routing schemes, multi-dimensional interval routing schemes, characterization

1 Introduction

One of the most fundamental tasks in any network is to route messages between pairs of nodes. The classical method for routing messages in a network is to store a *routing table* at each node.

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